

# FORM E

## CLARIFICATION FOR BUSINESS RELATIONSHIPS WITH INCREASED RISK FOR COMPANIES

Sales partner
Broker
Number

### Nature of the increased risk

- Investment amount > CHF 100 000
- Unusual business relationship or transaction
- Business relationship with a US citizen or US tax liability
- Applicant / contractual partner is US citizen or has US tax liability
- Economic beneficiary is US citizen or has US tax liability

Business relationship with a politically exposed person (PEP) or person close to them:  yes  no

- If yes, which:  Applicant is PEP  Economic beneficiary is PEP  Person with power of attorney is PEP
- Person close to one of aforementioned groups is PEP

Relationship PEP 1	Political activity	Relationship
Relationship PEP 2	Political activity	Relationship

### Origin of the assets deposited

Where do the assets to be deposited for the application / existing contract come from?

- Business activities  Sale of real estate  Capital gains  Wealth  Liquidity reserves

Other sources and/or more detailed information

The undersigned hereby undertake / undertakes to send BB Wertmetall AG a new Form E without being requested to do so in the event of additional payments originating from sources other than those indicated in this form.

### Basis of the business relationship

For what purpose is the application being submitted / the contract increased?

- Protection against devaluation  Diversification of assets
- Old age provision  Securing a mortgage

Other sources and/or more detailed information

### Details of Applicant / Contractual Partner A

Company name		Business ID and / or VAT ID	
Street, house no	Country code / postcode	Domicile	
Tel. no.	e-mail		
Surname of authorised signatory A	First name(s)		Date of birth
Political activity	Industry	Nationality	



## Details of Applicant / Contractual Partner B

Company name		Business ID and / or VAT ID	
Street, house no	Country code / postcode	Domicile	
Tel. no.	e-mail		
Surname of authorised signatory B	First name(s)	Date of birth	
Political activity	Industry	Nationality	

Details  of economic beneficiary – only if in accordance with Form A deviating from Applicant / Contractual Partner  
 of person with power of attorney – only if a power of attorney form exists or has been submitted

Surname	First name(s)	Date of birth	
Tel. no.	e-mail	Nationality	
Street, house no	Country code / postcode	Domicile	
Professional activity (if retired, professional activity before retirement)		Industry	
Employer/own company (with address)			
Political activity		Civil status	

Details  of economic beneficiary – only if in accordance with Form A deviating from Applicant / Contractual Partner  
 of person with power of attorney – only if a power of attorney form exists or has been submitted

Surname	First name(s)	Date of birth	
Tel. no.	e-mail	Nationality	
Street, house no	Country code / postcode	Domicile	
Professional activity (if retired, professional activity before retirement)		Industry	
Employer/own company (with address)			
Political activity		Civil status	

Please note: if there are more than two economic beneficiaries deviating from the Applicant(s) / Contractual Partner(s) and/or more than two persons with power of attorney, please fill in two or more forms E.

## Final declaration of the Applicant(s) / Contractual Partner(s)

I / we hereby confirm the correctness of the above information and undertake to notify BB Wertmetall AG of any changes without being asked to do so.

Place, date	Signature of Applicant / Contractual Partner A
Place, date	Signature of Applicant / Contractual Partner B

## Checklist for Form E

First-time submission of Form E  Update of Form E (replaces earlier documents)