

FORM E

CLARIFICATION FOR BUSINESS RELATIONSHIPS WITH INCREASED RISK FOR PRIVATE PERSONS

Sales partner
Broker
Number

Nature of the increased risk

- Investment amount > CHF 100 000
- Unusual business relationship or transaction
- Business relationship with a US citizen or US tax liability
- Applicant / contractual partner is US citizen or has US tax liability
- Economic beneficiary is US citizen or has US tax liability

Business relationship with a politically exposed person (PEP) or person close to them: yes no

- If yes, which: Applicant is PEP Economic beneficiary is PEP Person with power of attorney is PEP
- Person close to one of aforementioned groups is PEP

Relationship PEP 1	Political activity	Relationship
Relationship PEP 2	Political activity	Relationship

Origin of the assets deposited

Where do the assets to be deposited for the application / existing contract come from?

- Professional activity Sale of real estate Capital gains Inheritance Wealth Gift

Other / more detailed explanations

The undersigned hereby undertake / undertakes to send BB Wertmetall AG a new Form E without being requested to do so in the event of additional payments originating from sources other than those indicated in this form.

Basis of the business relationship

For what purpose is the application being submitted / the contract increased?

- Protection against devaluation Diversification of assets
- Old age provision Securing a mortgage

Other / more detailed explanations

Details of Applicant / Contractual Partner A

Surname	First name(s)	Date of birth
Tel. no.	e-mail	Nationality
Street, house no	Postcode / zip	Domicile
Professional activity (if retired, professional activity before retirement)	Industry	
Employer / own company (with address)		
Political activity	Civil status	

FORM E



Details of Applicant / Contractual Partner B

Surname	First name(s)	Date of birth
Tel. no.	e-mail	Nationality
Street, house no	Postcode / zip	Domicile
Professional activity (if retired, professional activity before retirement)	Industry	
Employer/own company (with address)		
Political activity	Civil status	

Details of economic beneficiary – only if in accordance with Form A deviating from Applicant / Contractual Partner
 of person with power of attorney – only if a power of attorney form exists or has been submitted

Surname	First name(s)	Date of birth
Tel. no.	e-mail	Nationality
Street, house no	Postcode / zip	Domicile
Professional activity (if retired, professional activity before retirement)	Industry	
Employer/own company (with address)		
Political activity	Civil status	

Details of economic beneficiary – only if in accordance with Form A deviating from Applicant / Contractual Partner
 of person with power of attorney – only if a power of attorney form exists or has been submitted

Surname	First name(s)	Date of birth
Tel. no.	e-mail	Nationality
Street, house no	Postcode / zip	Domicile
Professional activity (if retired, professional activity before retirement)	Industry	
Employer/own company (with address)		
Political activity	Civil status	

Please note: if there are more than two economic beneficiaries deviating from the Applicant(s) / Contractual Partner(s) and/or more than two persons with power of attorney, please fill in two or more forms E.

Final declaration of the Applicant(s) / Contractual Partner(s)

I / we hereby confirm the correctness of the above information and undertake to notify BB Wertmetall AG of any changes without being asked to do so.

Place, date	Signature of Applicant / Contractual Partner A
Place, date	Signature of Applicant / Contractual Partner B

Checklist for Form E

First-time submission of Form E Update of Form E (replaces earlier documents)